ocket No.: 16459-007001 / LD-7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alexei A. Erchak

Art Unit : 2811

Serial No.: 10/724,004

Examiner:

Filed

November 26, 2003

Title

: LIGHT EMITTING DIODES .

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Sean P. Daley Reg. No. 40,978

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Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Dockel No. 16459-007001

Application No. 10/724,004

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Applicant Alexei A. Erchak

Filing Date

Group Art Unit 2811 November 26, 2003

(37 CFR §1.98(b))

U.S. Patent Documents Filing Date Publication Document Examiner Desig. Class Subclass If Appropriate **Patentee** Number Date Initial ID 10/25/94 Hunter et al. 5,359,345 AA 05/20/97 Negley et al. 5,631,190 AB Hunter et al. 03/03/98 AC 5,724,062 Krames et al. 5,799,924 07/14/98 AD Joannopoulos et al. 09/21/99 5,955,749 AE Cheung et al. 06/06/00 ΑF 6,071,795 Cheung et al. 07/16/02 AG 6,420,242 Kelly et al. 05/06/03 AH 6,559,075 06/25/02 Thibeault et al. 6,410,942 AI 12/02/03 Thibeault et al. 6,657,236 AJ 2003/0141507 07/31/03 Krames et al. ΑK

• :	Foreign Patent Documents or Published Foreign Patent Applications							
Francisco	Desig.	Document	Publication	Country or			Trans	lation
Examiner Initial	ID ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AL	WO 98/14986	04/09/98	PCT				
	AM		٠					

	Other Documents (include Author, Title, Date, and Place of Publication)						
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	AN	W.S. Wong et al. "Damage-free separation of GaN thin films from sapphire substrates", Appl. Phys. Lett. 72 (5), February 2, 1998, pages 599-601					
- _	AO	M.K. Kelly et al. "Optical process for liftoff of Group III-nitride films", Physica Status Solidi; Rapid					
· ·	AP	A. A. Erchak et al. "Enhanced coupling to vertical radiation using a two-dimensional photonic crystal in a semiconductor light-emitting diode", Appl. Phys. Lett. (78 (5), January 29, 2001, pages 563, 565					
	AQ	P.L. Gourley et al. "Optical properties of two-dimensional photonic lattices fabricated as honeycomb nanostructures in compound semiconductors", Appl. Phys. Lett. 64(6), February 7, 1994, pages 687-689					
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-	AS ·	J.R. Wendt et al. "Nanofabrication of photonic lattice structures in GaAs/AIGaAs", J.Vac. Sci. Technol. B 11(6), November/December 1993, pages 2637-2640					

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Date Considered

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Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 16459-007001

Application No. 10/724,004

Information Disclosure Statement
by Applicant
(Use several sheets if necessary)

Alexei A. Erchak

Applicant

Group Art Unit

2811

(37 CFR §1.98(b))

Filing Date November 26, 2003

Other Documents (include Author, Title, Date, and Place of Publication) Examiner Initial ID Document M. Krames et al "Introduction to the Issue on High-Efficiency Light-Emitting Diodes", IEEE AT Journal on selected topic in quantum electronics, Vol. 8, No. 2 March/April 2002, pages 185-188 K. Streubel et al.. "High Brightness AlGaInP Light-Emitting Diodes", IEEE Journal on selected AU topic in quantum electronics, Vol. 8, No. 2, March/April 2002, pages 321-332 M. Okai et al. "Novel method to fabricate corrugation for a \(\frac{\partial}{4} \)-shifted distributed feedback laser ΑV using a granting photomask', Appl. Phys. Lett. 55(5), July 31, 1989, pages 415-417 T.L. Koch et al. "1.55-u InGaAsP distributed feedback vapor phase transported buried AW heterostructure lasers", Appl. Phys. Lett. 47 (1), July 1, 1985, pages 12-14 W.T. Tsang et al. "Semiconductor distributed feedback lasers with quantum well or superlattice. grating for index or gain-coupled optical feedback", Appl. Phys. Lett. 60 (21), May 25 1992, pages ΑX 258-2582 M. Zelsmann et al. "Seventy-fold enhancement of light extraction from a defectless photonic crystal AY made on silicon-on-insulator", Appl. Phys. Lett. 83 (13), September 29, 2003, pages 2542-2544 M. Rattier et al. "Omnidirectional and compact guided light extraction from Archimedean photonic ΑZ lattices", Appl. Phys. Lett. 83 (7), August 18, 2003, pages 1283-1285 Y.-J. Lee et al. "A high-extraction-efficiency nanopatterned organic light-emitting diode", Appl. AAA Phys. Lett. 82(21), May 26, 2003, pages 3779-3781 I. Schnitzer et al. "30% external quantum efficiency from surface textured, thin-film light-emitting **ABB** diodes", Appl. Phys. Lett. 63 (18), October 18, 1993, pages 2174-2176 M. Boroditsky et al. "Light extraction from optically pumped light-emitting diode by thin-slab ACC photonic crystals", Appl. Phys. Lett. 75 (8), August 23, 1999, pages 1036-1038 L. Chen et al. "Fabrication of 50-100 nm Patterned InGaN Blue Light Emitting Heterostructures", ADD Phys. Stat. Sol. (a), 188 (1), 2001, pages 135-138. I. Bulu et al. "Highly directive radiation from sources embedded inside photonic crystals", Appl. AEE Phys. Lett. 83 (16), October 20, 2003, pages 3263-3265 T. N. Oder et al. "Ill-nitride photonic crystals", Appl. Phys. Lett. 83 (6), August 11, 2003, pages **AFF** 1231-1233 M.K. Kelly et al. "Optical patterning of GaN films", Appl. Phys. Lett 68 (12), September 16, 1996, **AGG** pages 1749-1751

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Attorney's seeket No.: 16459-007001 / LD-7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Erchak et al.

Art Unit : 2826

Serial No.: 10/724,004

24,004 Examiner: Scott R. Wilson

Filed

November 26, 2003 ...

Title

LIGHT EMITTING DIODES

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the attached form PTO-1449. Applicants also bring to the Examiner's attention the below listed 14 pending U.S. utility patent applications that are owned by the Assignee of the above-noted patent application.

Document Number	Patentee	Filing Date
10/723,987	Alexei A. Erchak	11/26/03
10/724,033	Alexei A. Erchak	11/26/03
10/724,006	Alexei A. Erchak	11/26/03
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10/896,435	Alexei A. Erchak et al.	7/22/04

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cket No.: 16459-007001 / LD-7 Applicant: Alexei A. Erchak

Serial No.: 10/724,004

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	closure Statement	Applicant Alexei A. Erchak		
(Use several sheets if necessary)		Filing Date November 26, 2003	Group Art Unit 2826	

		•	U.S. Pate	ent Documents	•	•	
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass.	Filing Date If Appropriate
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· ·	AC	5,073,041	12/17/1991	Rastani			11/13/90
	AD	5,426,657	06/20/1995	Vakhsoori			05/27/94
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	Foreign Patent Documents or Published Foreign Patent Applications							
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Initial	ID ID	Number	Date	Patent Office	Class	Subclass	Yes	No
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	AT							

Other Documents (include Author, Title, Date, and Place of Publication)							
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